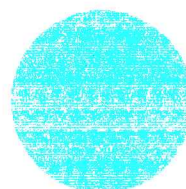
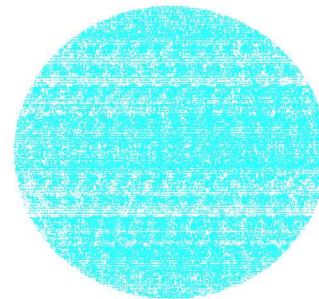
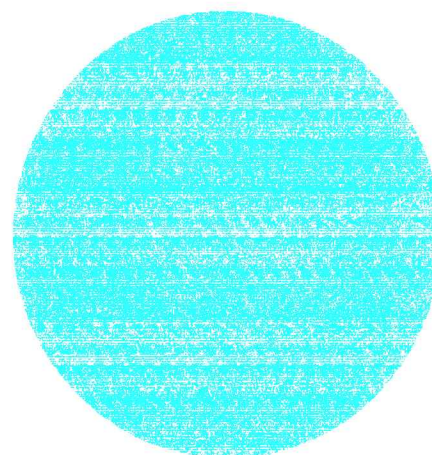


SECRETARIAL AUDIT REPORT -2021-2022



CENTRAL MINE PLANNING & DESIGN INSTITUTE LIMITED



GONDWANA PLACE, KANKE ROAD RANCHI JHARKHAND
JH 834008



SATISH KUMAR & ASSOCIATES

(COMPANY SECRETARIES)

SECRETARIAL AUDIT REPORT

*For The Financial Year Ended March
31st, 2022*

[Pursuant to Section 204(1) of the Companies Act, 2013 and Rule No. 9 of the Companies Appointment and Remuneration of Managerial Personnel) Rules, 2014]

To,
The Members,
Central Mine Planning & Design Institute Limited
Gondwana Place, Kanke Road Ranchi-834008

We have conducted the Secretarial Audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by **M/s CENTRAL MINE PLANNING & DESIGN INSTITUTE LIMITED** (hereinafter called the "Company"). Secretarial Audit was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on our verification of the Company's books, papers minute books, forms and return filed and other records maintained by the Company and also the information provided by the Company, its officers, agents and authorized representatives during the audit period from April, 2021 to March, 2022, complied with the statutory provisions listed hereunder.

Satish Kumar & Associates

Company Secretaries
Office No. 603, 6th Floor, Samridhi Square,
Kishore Ganj Chowk,
Ranchi- 834001
Ph:-09334606570/ 09135009905/ 0651-2212943
E-Mail:-cssatish26@gmail.com/skaranchi2@gmail.com
PAN:-ADGFS8830H

We have examined the registers, records, books and papers of **M/s Central Mine Planning & Design Institute Limited** ("the Company") for the Financial Year ended on 31st March, 2022 according to the provisions of:

1. The Companies Act, 2013 and the Rules made there under.
2. Secretarial Standards issued by the Institute of Company Secretaries of India.
3. The Depositories Act, 1996 and the Regulations and Bye-laws framed there under.
4. The SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015.
5. Guidelines on Corporate Governance for Central Public Sector Enterprises, issued by Department of Public Enterprises vide

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SATISH KUMAR & ASSOCIATES

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their OM No. 18(8)/2005-GM dated 14th May, 2010.

6. Contract Labour (Regulation and Abolition) Act, 1970.
7. The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013.
8. The Environment (Protection) Act, 1986 and other environmental laws and rules framed there under.
9. Company has complied with all applicable specific laws as applicable on the Company (Referred as Annexure - 1).

I. In our opinion, based on the examination carried out by us, verification of records produced to us and according to the information furnished to us by the Company and Officers, the Company has complied with the provisions of the Companies Act, 2013 ("the Act") and Rules made under the Act, the Memorandum and Articles of association of the Company, subject to the provisions as stated specifically herein; and also that the Company has proper board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

1. Maintenance of various statutory registers and documents and making necessary entries therein.

2. Form of Balance Sheet as prescribed under Part I, form of Statement of Profit and Loss as prescribed under Part II and general instructions for preparation of the same as prescribed in Schedule III to the Act.
3. Composition of the Board of Directors with an adequate balance of Executive and Non-Executive & Independent Director including Women Director during the period under review.
4. Registered Office and publication of the name of the Company.
5. Filing of requisite forms and returns with the Registrar of Companies, Jharkhand within the time prescribed under the Act and the rules framed there under.
6. Convening and holding of the Meetings of Board of Directors and Committees thereof.
7. Convening and holding of 46th Annual General Meeting of the Members on Wednesday, 28th July, 2021.
8. Maintenance of Minutes of the proceedings of the Annual General Meeting, Extra-ordinary General Meeting, Board Meetings and Meetings of Committees of the Board, properly recorded in loose leaf form, which are being bound in a book form at regular intervals.

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SATISH KUMAR & ASSOCIATES

(COMPANY SECRETARIES)

9. Payment of Remuneration to Directors.
 10. Appointment and Remuneration of Statutory Auditors, Internal Auditors and Cost Auditors.
 11. Composition and terms of reference of the Audit Committee and Nomination & Remuneration Committee.
 12. Service of Documents by the Company on its Members and Auditors.
 13. Undertaking of all the compliances with regard to filling of the various Statutory Returns, maintenance of Registers of Contractors, etc as prescribed under Contract Labour (Regulation and Abolition) Act, 1970, and all other applicable Labour Laws based on the certificates received from the concerned officials.
3. There was no prosecution initiated and no fines or penalties were imposed on the Company, its Directors and Officers, during the period under review.
 4. No compliances of any nature are pending with the company based on the compliance mechanism established by the company and on the basis of the Compliance Certificate(s) & other certificate issued by the Company Secretary, Compliance Officer of the Company and other Departmental Heads of the Company.
 5. We further report that during the Audit, the Company has not incurred any specific event/ action that can have a major bearing on the Company's affairs in pursuance of the above referred laws, rules, regulations, guidelines, standards, etc.

II. We further report that

1. The Directors have disclosed their Shareholdings and Directorships in other companies and interests in other entities as and when required and their interests have been noted and recorded by the Board.
2. The Directors have complied with the disclosure requirements in respect of their eligibility of appointments, their being independent and compliance with the Code of Conduct of Directors and Senior Management Personnel.

MANAGEMENT'S RESPONSIBILITY

1. Maintenance of Secretarial Records is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our Audit.
2. We have followed the audit practices and the processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the secretarial records. The verification was done on test basis to ensure that correct facts are reflected in secretarial records. We believe that the



SATISH KUMAR & ASSOCIATES

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processes and practices followed by us provide a reasonable basis to form our opinion.

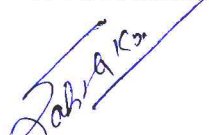
3. We have examined the financial records in line of the compliances of the Companies Act.
4. The compliance of the provisions of Corporate Governance and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedure on test basis.
5. The Secretarial Audit report is neither an assurance as to the future viability of the Company nor of the efficacy or effectiveness with which the management has conducted the affairs of the Company.

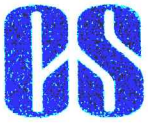
Disclaimer:-

1. We have not verified the correctness and appropriateness of financial records and books of account of the Company.
2. Further the compliances with regard to other laws are being reported as complied merely on the basis of certificates received from the concerned officials.
3. Wherever required, we have obtained the Management Representation about the compliance of aforesaid Laws, Rules, Regulations, Standards, Guidelines and happening of events etc.

For Satish Kumar & Associates

Place: Ranchi
Date: 24th May, 2022
UDIN: - F008423D000374932


Satish Kumar
Company Secretary
FCS No.: 8423
C.P. No.: 9788



SATISH KUMAR & ASSOCIATES

(COMPANY SECRETARIES)

Annexure-I

List of Other Laws

S.No.	Name of the Act / Rules/ Regulations	Applicability on Company	Status of Compliance
1.	The Coal Mines Act, 1952.	Applicable	Complied
2.	The Payment of Wages (Mines) Rules, 1956.	Applicable	Complied
3.	Coal Mines Provident Fund and Miscellaneous Provisions Act, 1948	Applicable	Complied
4.	The Payment of Undisbursed Wages (Mines) Rules, 1989	Applicable	Complied
5.	Indian Electricity Act, 2003 and the Indian Electricity Rules, 1956	Applicable	Complied
6.	The Water (Prevention & Control of Pollution) Act, 1974 and Rules made thereunder	Applicable	Complied
7.	The Air (Prevention & Control of Pollution) Act, 1981.	Applicable	Complied
8.	Indian Explosives Act, 1884.	Applicable	Complied
9.	Securities Contract Regulation Act, 1956	Not Applicable	N.A
10.	Foreign Exchange Management Act, 1999 and the rules and regulations made thereunder to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;	Not Applicable	N.A
11.	Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992		
	a. The SEBI (Issue of Capital & Disclosure Requirement) Regulations, 2009	Not Applicable	N.A
	b. The SEBI (Substantial Acquisition of Shares and Takeover) Regulations, 2011	Not Applicable	N.A
	c. The SEBI (Share Based Employee Benefits) Regulations,		

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	2014.	Not Applicable	N.A
	d. The SEBI (Issue & Listing of Debt Securities) Regulations, 2009.	Not Applicable	N.A
	e. The SEBI (Delisting of Equity Shares) Regulations, 2009.	Not Applicable	N.A
	f. The SEBI (Buyback of Securities) Regulations, 1998	Not Applicable	N.A
12.	Colliery Control Order, 2000 and Colliery Control Rules, 2004.	Not Applicable	N.A
13.	The Coal Mines Regulations, 2017.	Not Applicable	N.A
14.	Coal Mines Pension Scheme, 1998.	Not Applicable	N.A
15.	Coal Mines Conservation and Development Act, 1974.	Not Applicable	N.A
16.	The Mines Vocational Training Rules, 1966.	Not Applicable	N.A
17.	The Mines Creche Rules, 1961.	Not Applicable	N.A
18.	The Mines Rescue Rules, 1985.	Not Applicable	N.A
19.	Coal Mines Pithead Bath Rules, 1946	Not Applicable	N.A
20.	Maternity Benefit (Mines and Circus) Rules, 1963	Not Applicable	N.A
21	The Explosives Rules, 2008.	Not Applicable	N.A
19.	Mineral Concession Rules, 1960	Not Applicable	N.A
22.	Mines and Minerals (Development and Regulation) Act, 1957	Not Applicable	N.A
23.	The Hazardous and Other Wastes (Management and Transboundary Movement) Rules, 2016	Not Applicable	N.A
24.	Public Liability Insurance Act, 1991 and Rules made thereunder.	Not Applicable	N.A

~END~

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- To provide excellent services to our clients and retain them forever with us.

Thanking You:-

Team Satish Kumar & Associates

SATISH KUMAR & ASSOCIATES
COMPANY SECRETARIES

**OFFICNO.-603 SAMRIDHI SQUARE, 6TH FLOOR, KISHORE GANJ CHOWK RANCHI,
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